

# Sharekhan Limited

## DETAILS OF TRADING TERMINAL - EQUITIES, DERIVATIVES, CURRENCY DERIVATIVES, COMMODITY DERIVATIVES

	BSE	NSE	MCX
Name of the Trading Member	SHAREKHAN LIMITED		
Name of the Exchange	BSE Ltd.	National Stock Exchange of India Ltd.	Multi Commodity Exchange of India Ltd.
Clearing No. / Trade ID / Membership ID	Cash, Equity Derivatives & Currency Derivatives - 748	Cash, Equity Derivatives & Currency Derivatives - 10733	Commodity Derivatives - 56125
Address & Tel. No. of the Regd. office of the Trading Member	The Ruby, 18th Floor, 29 Senapati Bapat Marg, Dadar (West), Mumbai – 400 028, Maharashtra, INDIA. Tel: 022-67502000		
SEBI Registration No. of the Trading member	INZ000171337		
Registration number (Sub-broker/Authorised person)	AP0107480171467	AP2069104753	113948
Address and Tel. No. of the branch office where trading terminal is located	Ground Floor, Payyampallil Buildings, Thiruvalla Road, P.O: Mallappally, Pathanamthitta - 689585 Tel.: 9946046769		
Name & Designation of the person in-charge / managing the branch office	Mr. Manu K M, Suresh P Abraham, Mr. Abraham Suresh		
Sub-broker/Authorised person email id for redressal of investor grievance	suremanfin.owner@bp.sharekhan.com		
Name, Designation, Tel. No. & Email ID of the contact person in the main office of the trading member	Ms. Binkle R. Oza - Compliance Officer Tel: 022 - 62263303. Email: complianceofficer@sharekhan.com		
Trading Member's email id for redressal of investor complaints	igc@sharekhan.com		
Exchange Investor Service Cell Tel. No.	022-22728097	1800 266 0058	022-67318888
<b>BSE Do's</b> A. Always deal with market intermediaries registered with SEBI/Exchanges. B. Give clear and unambiguous instructions to your broker/agent/depository participant. C. Always insist on contract notes for all the transactions from the main broker (name to be specified) within 24 hours of the trade execution. In case of doubt of the transactions, verify the genuineness of the same on the Exchange website www.bseindia.com. D. Always settle the dues through the normal banking channels with the market intermediaries. E. Always make payment directly to the main broker. F. Always give delivery of shares directly to the main broker. G. Adopt trading/investment strategies commensurate with your Risk bearing capacity as all investments carry risk, the degree of which varies according to the investment strategy adopted. H. Always sign a Member-Client Agreement or the tripartite agreement with the trading member & SEBI registered sub-broker of the trading member of BSE as the case may be. I. Please carry out due-diligence before registering as client with any Intermediary. Also, carefully read and understand the contents stated in the Risk Disclosure Document, which forms the part of client registration for dealing through intermediaries in the Stock Market.	<b>NSE Please Note:</b> A. Please deal through only a SEBI registered trading member/SEBI registered sub-broker. B. Please sign a member-client agreement or member-sub broker-client agreement (as the case may be) before starting dealings. C. Insist on a contract note for all dealings, directly from the trading member. D. Make payments by account payee Cheque / DD and make delivery of securities directly in the name of trading member. E. For further details on Investor Rights and Obligations and other related issues, please refer to the Investor Forum Section on the NSE website www.nseindia.com or the booklet 'Guiding Light for Investors', copy of which is available with the TM/registered sub-broker.	<b>MCX Do's</b> A. Trade only through Registered Members of the Exchange. Check from the Exchange website at following link <a href="http://www.mcxindia.com/SitePages/MembersDetails.aspx">http://www.mcxindia.com/SitePages/MembersDetails.aspx</a> to see whether the Member is registered with the Exchange. B. Insist on filling up a standard 'Know Your Client (KYC)' form before you commence trading. C. Insist on getting a Unique Client Code (UCC) and ensure all your trades are done under the said UCC. D. Insist on a duly signed Contract Note in specified format for every executed trade within 24 hours of trade, highlighting the details of the trade along with your UCC. E. Ensure that the Contract Note contains all the relevant information such as Member Registration Number, Order No., Order Date, Order time, Trade No., Trade rate, Quantity, Arbitration Clause, etc. F. Go through the Rules, Bye-laws, Regulations, Circulars, Directives, Notifications of the Exchange as well as of the Regulators, Government and other authorities to know your rights and duties vis-à-vis those of the Member. G. Ensure to read, understand and then sign the voluntary clauses, if any, agreed between you and the Member. Note that the clauses as agreed between you and the Member cannot be changed without your consent. H. Make the payments by account payee cheque in favour of the Member. Ensure that you have a documentary proof of your payment/deposit of commodities with the Member, stating date, commodity, quantity, towards which bank/ demat account such money or commodities (in the form of warehouse receipts) deposited and from which bank/demat account. I. In case your problem/grievance/issue is not being sorted out by concerned Member/Authorised Person then you may take up the matter with the concerned Commodity Exchange. If you are not satisfied with the resolution of your complaint then you can escalate the matter to SEBI.	
<b>Don'ts</b> A. Don't deal with unregistered brokers/sub-brokers, intermediaries. B. Don't leave the custody of your Demat Transaction slip book in the hands of any Intermediary. C. Don't fall prey to promises of guaranteed returns. D. Don't blindly imitate investment decisions of others who may have profited from their investment decisions.		<b>Don'ts</b> A. Do not deal with any unregistered intermediaries. B. Do not undertake off-market transactions as such transactions are illegal and fall outside the jurisdiction of the Exchange. C. Do not enter into assured returns arrangement with any Member. D. Do not get carried away by luring advertisements, rumours, hot tips, explicit/ implicit promise of returns, etc. E. Do not make payments in cash/ take any cash towards margins and settlement to/ from the Member. F. Do not accept contract note/confirmation memo signed by any unauthorized person. G. Don't share your internet trading account's password with anyone H. Don't issue cheques in the name of Authorized Person.	